

STEPHANIE M. HINDS (CABN 154284)  
United States Attorney

THOMAS A. COLTHURST (CABN 99493)  
Chief, Criminal Division

MICHAEL G. PITMAN (DCBN 484164)  
Assistant United States Attorney  
150 Almaden Boulevard, Suite 900  
San Jose, CA 95113  
Telephone: (408) 535-5040  
Facsimile: (408) 535-5081  
Email: michael.pitman@usdoj.gov

COREY J. SMITH (MABN 553615)  
Senior Litigation Counsel  
United States Department of Justice  
Telephone: (202) 514-5230  
Email: corey.smith@usdoj.gov

Attorneys for United States of America

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
SAN FRANCISCO DIVISION

UNITED STATES OF AMERICA,

Plaintiff,

v.

CARLOS E. KEPKE,

Defendant.

Criminal No. 3:21-CR-00155-JD

PARTIES' FIRST  
STIPULATION FOR TRIAL  
REGARDING FILED FEDERAL  
INCOME TAX RETURNS

The United States of America and Defendant Carlos E. Kepke, by and through undersigned counsel, hereby stipulate for purposes of trial as follows:

1. Each of the Trial Exhibits identified in Table I, below, are admissible into evidence, and the parties have no objection to the admission of these exhibits into evidence at trial;

2. The federal income tax returns listed in Table I below were filed with the Department of the Treasury, Internal Revenue Service, constitute public records pursuant to Federal Rules of Evidence 803(8), and were processed by the Internal Revenue Service pursuant to applicable statutes and regulations; and

3. The photocopies of any Trial Exhibit addressed in this Stipulation may be admissible and may be presented as evidence pursuant to Fed. R. Evid. 1003.

**TABLE I**

<b>Exhibit Number</b>	<b>Description</b>	<b>Bates Stamp Number</b>
356	Certified Copy of Robert Smith Joint Federal Income Tax Returns Form 1040 for tax year 2008	IRS_Tax_Returns_RS001074
357	Certified Copy of Robert Smith Joint Federal Income Tax Returns Form 1040 for tax year 2009	IRS_Tax_Returns_RS001098
358	Certified Copy of Robert Smith Joint Federal Income Tax Returns Form 1040 for tax year 2010	IRS_Tax_Returns_RS001128
359	Certified Copy of Robert Smith Joint Federal Income Tax Returns Form 1040 for tax year 2011	IRS_Tax_Returns_RS001159
360	Certified Copy of Robert Smith Joint Federal Income Tax Returns Form 1040 for tax year 2012	IRS-027733
361	Certified Copy of Robert Smith Joint Federal Income Tax Returns Form 1040 for tax year 2013	IRS_Tax_Returns_RS001191
362	Certified Copy of Robert Smith Joint Federal Income Tax Returns Form 1040 for tax year 2014	IRS_Tax_Returns_RS000188
363	Copy of Robert Smith Amended Joint Federal Income Tax Returns Form 1040X for tax year 2010	IRS_Tax_Returns_RS_001303
364	Copy of Robert Smith Amended Joint Federal Income Tax Returns Form 1040X for tax year 2011	IRS_Tax_Returns_RS_001629
365	Copy of Robert Smith Amended Joint Federal Income Tax Returns Form 1040X for tax year 2012	IRS_Tax_Returns_RS_002051
366	Copy of Robert Smith Amended Joint Federal Income Tax Returns Form 1040X for tax year 2013	IRS_Tax_Returns_RS_003041
367	Copy of Robert Smith Amended Joint Federal Income Tax Returns Form 1040X for tax year 2015	IRS_Tax_Returns_RS_003971
368	Copy of Vista Equity Partners Fund IV GP LLC Form 1065 for Tax Year 2011	DTAX 0002151
369	Copy of Vista Equity Partners Fund IV GP LLC Form 1065 for Tax Year 2012	DTAX 0006901
370	Copy of Vista Equity Partners Fund IV GP LLC Form 1065 for Tax Year 2013	DTAX 0014070
371	Copy of Vista Equity Partners Fund IV GP LLC Form 1065 for Tax Year 2014	DTAX 0022803
372	Copy of VEPF IV GP (Cayman), L.P. Form 1065 for the tax year 2012	DTAX 0006746
373	Copy of VEPF IV GP (Cayman), L.P. Form 1065 for the tax year 2013	DTAX 0012994
374	Copy of VEPF IV GP (Cayman), L.P. Form 1065 for the tax year 2014	DTAX 0020316
375	Copy of Vista Equity Partners Fund III Equity LLC Form 1065 for the tax year 2007	IRS_2019-051079
376	Copy of Vista Equity Partners Fund III Equity LLC Form 1065 for the tax year 2008	IRS_2019-051112
377	Copy of Vista Equity Partners Fund III Equity LLC Form 1065 for the tax year 2009	IRS_2019-051168
378	Copy of Vista Equity Partners Fund III Equity LLC Form 1065 for the tax year 2010	IRS_2019-051248

1	379	Copy of Vista Equity Partners Fund III Equity LLC Form 1065 for the tax year 2011	DTAX 0000245
2	380	Copy of Vista Equity Partners Fund III Equity LLC Form 1065 for the tax year 2012	DTAX 0002964
3	381	Copy of Vista Equity Partners Fund III Equity LLC Form 1065 for the tax year 2013	DTAX 0010143
4	382	Copy of Vista Equity Partners Fund III Equity LLC Form 1065 for the tax year 2014	DTAX 0016228
5	383	Copy of Vista Equity Partners Fund III GP, LLC Form 1065 for Tax Year 2007	IRS_2019-051923
6	384	Copy of Vista Equity Partners Fund III GP, LLC Form 1065 for Tax Year 2008	IRS_2019-051972
7	385	Copy of Vista Equity Partners Fund III GP, LLC Form 1065 for Tax Year 2009	IRS_2019-052111 & Mah.08-2016-0002042
8	386	Copy of Vista Equity Partners Fund III GP, LLC Form 1065 for Tax Year 2010	IRS_2019-052234
9	387	Copy of Vista Equity Partners Fund III GP, LLC Form 1065 for Tax Year 2011	DTAX 0001443
10	388	Copy of Vista Equity Partners Fund III GP, LLC Form 1065 for Tax Year 2012	DTAX 0004474
11	389	Copy of Vista Equity Partners Fund III GP, LLC Form 1065 for Tax Year 2013	DTAX 0010541
12	390	Copy of Vista Equity Partners Fund III GP, LLC Form 1065 for Tax Year 2014	DTAX 0016638
13	391	Copy of Vista Foundation Fund I Equity, LLC Form 1065 for Tax Year 2009	IRS_2019-066959 & Mah.08-2016-0002043
14	392	Copy of Vista Foundation Fund I Equity, LLC Form 1065 for Tax Year 2010	IRS_2019-067018 & Mah.08-2016-0002050
15	393	Copy of Vista Foundation Fund I Equity, LLC Form 1065 for Tax Year 2011	DTAX 0001872
16	394	Copy of Vista Foundation Fund I Equity, LLC Form 1065 for Tax Year 2012	DTAX 0007026
17	395	Copy of Vista Foundation Fund I Equity, LLC Form 1065 for Tax Year 2013	DTAX 0013082
18	396	Copy of Vista Foundation Fund I Equity, LLC Form 1065 for Tax Year 2014	DTAX 0021603
19	397	Copy of Vista Foundation Fund I GP, LLC Form 1065 for Tax Year 2009	IRS_2019-067487
20	398	Copy of Vista Foundation Fund I GP, LLC Form 1065 for Tax Year 2010	IRS_2019-067618 & Mah.08-2016-0002051
21	399	Copy of Vista Foundation Fund I GP, LLC Form 1065 for Tax Year 2011	DTAX 0002432
22	400	Copy of Vista Foundation Fund I GP, LLC Form 1065 for Tax Year 2012	DTAX 0008692
23	401	Copy of Vista Foundation Fund I GP, LLC Form 1065 for Tax Year 2013	IRS_2019-068002 & Mah.08-2016-0002088
24	402	Copy of Vista Foundation Fund I GP, LLC Form 1065 for Tax Year 2014	DTAX 0021766
25	403	Copy of Vista Equity Partners Fund IV Equity, Form 1065 for the Tax Year 2011	DTAX 0001619
26	404	Copy of Vista Equity Partners Fund IV Equity, Form 1065 for the Tax Year 2012	DTAX 0006196
27	405	Copy of Vista Equity Partners Fund IV Equity, Form 1065 for the Tax Year 2013	DTAX 0013907
28	406	Copy of Vista Equity Partners Fund IV Equity, Form 1065 for the Tax Year 2014	DTAX 0022636

1 Seen and Agreed:

2 Date: 11/17/2022

3 STEPHANIE M. HINDS  
4 United States Attorney

5 s/ Corey J. Smith  
6 COREY J. SMITH  
7 Senior Litigation Counsel  
8 MICHAEL G. PITMAN  
9 Assistant United States Attorney

10 Attorneys for United States of America

11 Date: 11/16/22

12 /s/ Grant P. Fondo  
13 GRANT P. FONDO (SBN181530)  
14 GFondo@goodwinlaw.com  
15 GOODWIN PROCTER LLP  
16 Attorneys for Defendant  
17 CARLOS E. KEPKE  
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